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#9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

The Application of: **Takeshi OKUDA, et al.**

ATTN: BOX MISSING PARTS

Serial No.: **09/901,572**

Group Art Unit: **1633**

Filed: **July 11, 2001**

P.T.O. Confirmation No.: **4818**

For: **MODIFIED DNA MOLECULE, RECOMBINANT CONTAINING THE SAME,
AND USES THEREOF**

RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS

**Commissioner for Patents
Washington, D.C. 20231**

September 23, 2002

Dear Sir:

In response to the Notice to Comply with Requirements dated **May 22, 2002**, enclosed for filing is a Sequence Listing in disk and paper form for the above-identified patent application. A statement that the computer listing and the paper listing are identical is also enclosed.

In the event that any additional fees are due with this paper, please charge Deposit Account No. 01-2340.

In the event that this response is not timely filed, applicants hereby petition for an appropriate extension of time. The fees for any such extension may be charged to our Deposit Account No. 01-2340. This paper is filed in triplicate.

Respectfully Submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

Daniel A. Geselowitz, Ph.D.

Agent for Applicants
Reg. No. 42,573

DAG/jaz

Atty. Docket No. **010898**
Suite 1000, 1725 K Street, N.W.
Washington, D.C. 20006
(202) 659-2930



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PATENT TRADEMARK OFFICE

Enclosures: Notice; Statement Regarding Sequence Listing; Paper Form of Sequence Listing; Disk Form of Sequence Listing; Petition for Extension of Time



#9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Takshi OKUDA, et al.**

ATTN: BOX MISSING PARTS

Serial No.: **09/901,572**

Group Art Unit: **1633**

Filed: **July 11, 2001**

P.T.O. Confirmation No.: **4818**

For: **MODIFIED DNA MOLECULE, RECOMBINANT CONTAINING THE SAME,
AND USES THEREOF**

**STATEMENT REGARDING SEQUENCE LISTING IN RESPONSE TO
NOTICE TO COMPLY WITH REQUIREMENTS**

Commissioner for Patents

Washington, D.C. 20231

September 23, 2002

Dear Sir:

Submitted herewith is the Sequence Listing for the above-identified application both in a paper copy form and in a computer readable form.

The name of the file on the computer readable form is 010898SeqList in text format. The paper copy and the computer readable form are the same. It is respectfully submitted the paper copy and the computer readable form add no new matter.

In the event there are any fees required, please charge our Deposit Account No. 01-2340.

Respectfully Submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

Daniel A. Geselowitz, Ph.D.

Agent for Applicants

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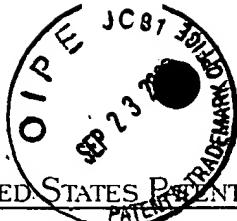


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PATENT TRADEMARK OFFICE

Enclosures: Paper Form Sequence Listing
Disk Form Sequence Listing

65

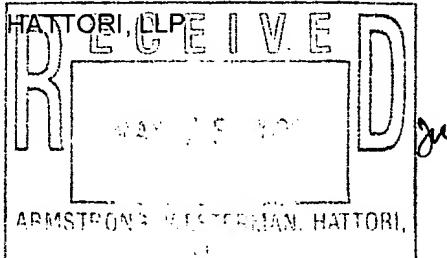


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/901,572	07/11/2001	Takashi Okuda	010898

23850
ARMSTRONG, WESTERMAN & HATTORI, LLP
1725 K STREET, NW.
SUITE 1000
WASHINGTON, DC 20006



CONFIRMATION NO. 4818

FORMALITIES LETTER



OC00000008170951

Date Mailed: 05/22/2002

*Due July 22, 2002
Amino Acid Report*

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE
DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

*RECEIVED
JUN 11 2002
5-28-02*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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